

# WHY

Molecular evolution matters?



Information, graphics and texts mostly after:  
Dan Graur, Department of Zoology, Tel Aviv University, Israel  
Itai Yanai, Molecular Genetics, Weizmann Institute of Science, Israel  
Rose Hoberman, Carnegie Mellon University, USA



Two main subjects

## Molecular evolution

The evolution of

**molecular entities**

e.g., genes, proteins, introns, chromosomal arrangements

## Molecular evolution

The evolution of

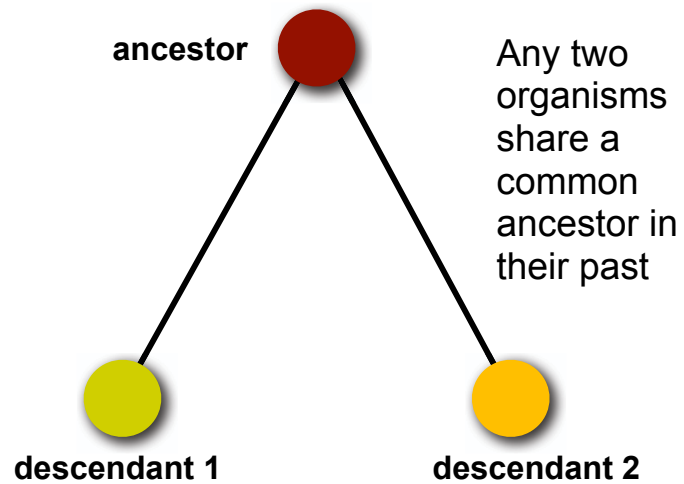
**organisms and  
biological complexes**

e.g., species, higher taxa, coevolutionary systems, ecological niches, and migratory patterns, by using molecular data

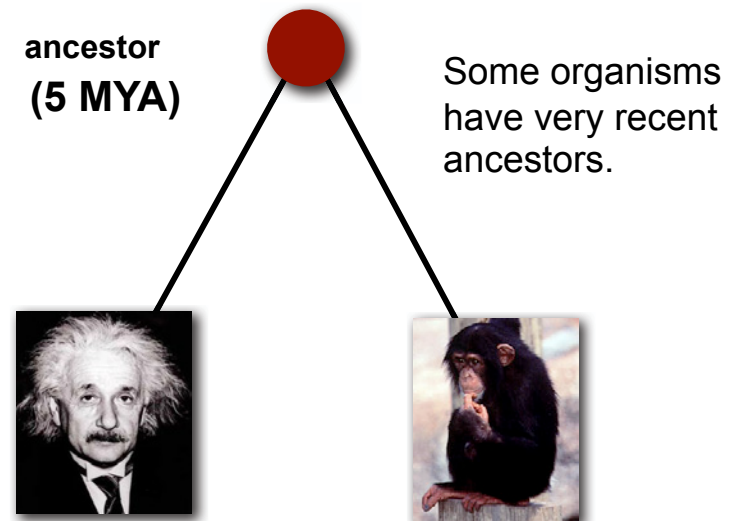
## Molecular evolution

**Assumption:  
Life is  
monophyletic**

## Molecular evolution



## Molecular evolution



## Molecular evolution

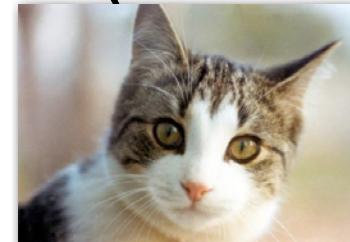
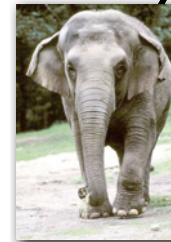
ancestor  
(18 MYA)

Some have less recent  
ancestors...



## Molecular evolution

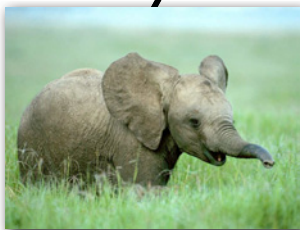
ancestor  
(120 MYA)



## Molecular evolution

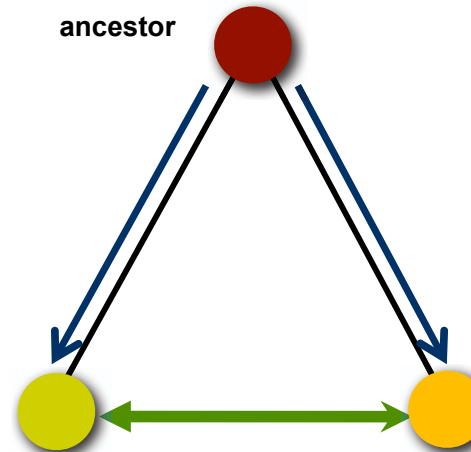
ancestor  
(1,500 MYA)

But, any two organisms  
share a common ancestor  
in their past



## Molecular evolution

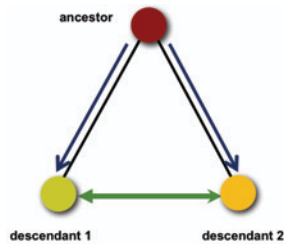
ancestor



descendant 1

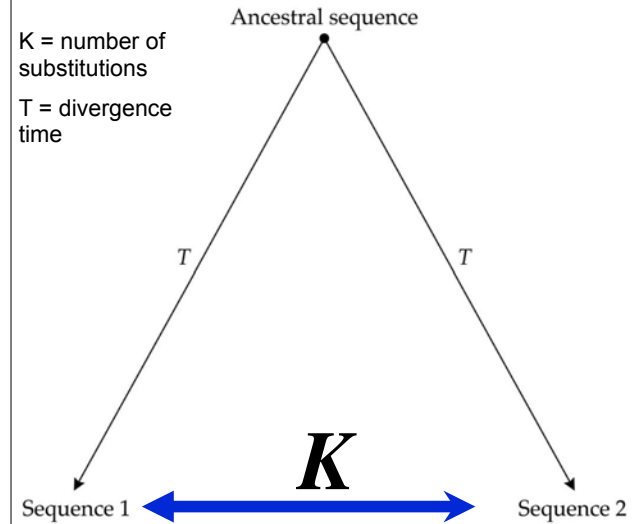
descendant 2

## Molecular evolution

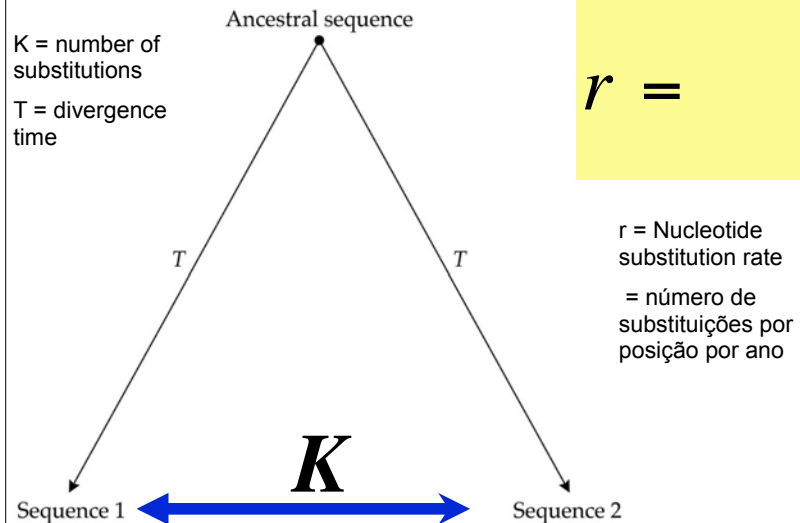


The differences between 1 and 2 are the result of **changes** on the lineage leading to descendant 1 + those on the lineage leading to descendant 2.

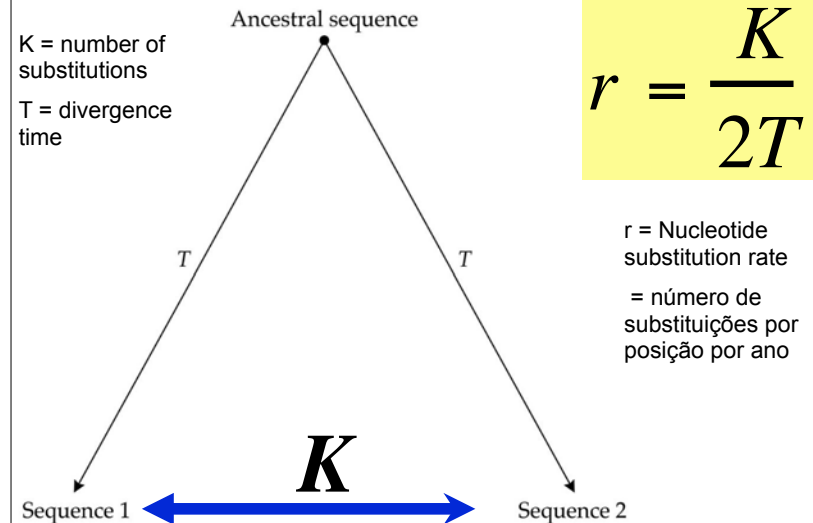
## Molecular evolution



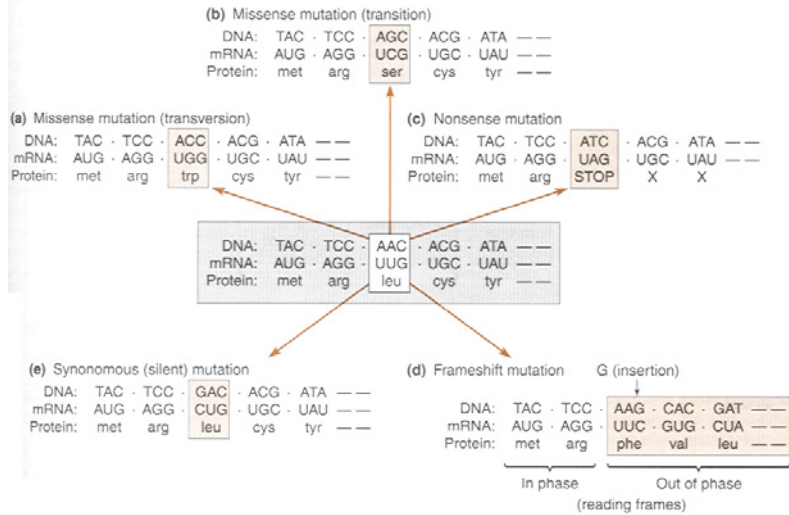
## Molecular evolution



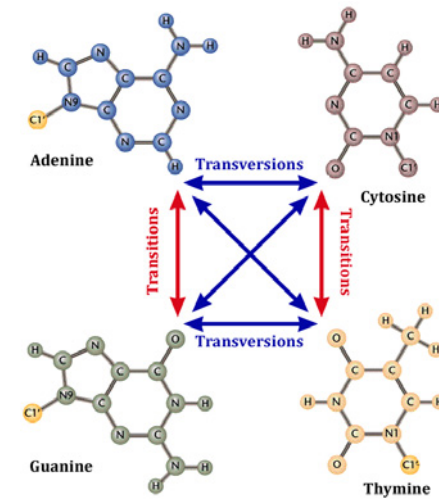
## Molecular evolution



## Molecular evolution



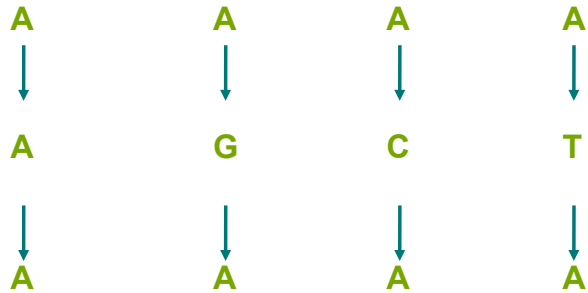
## Molecular evolution



## Molecular evolution

### Homoplasias

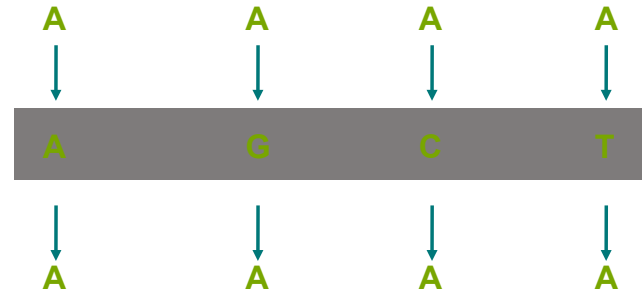
Tempo

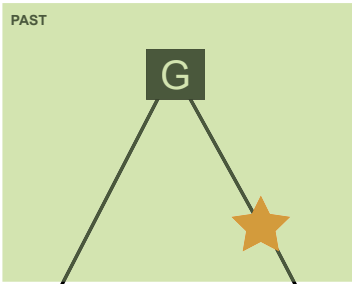


## Molecular evolution

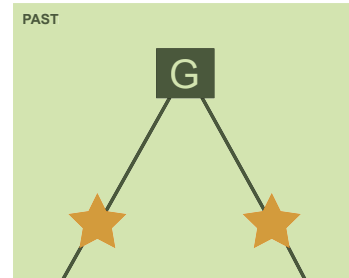
### Homoplasias

Tempo

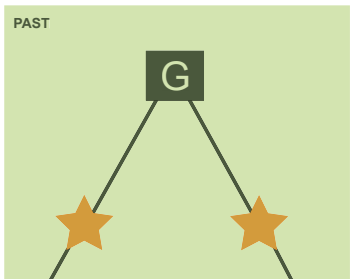




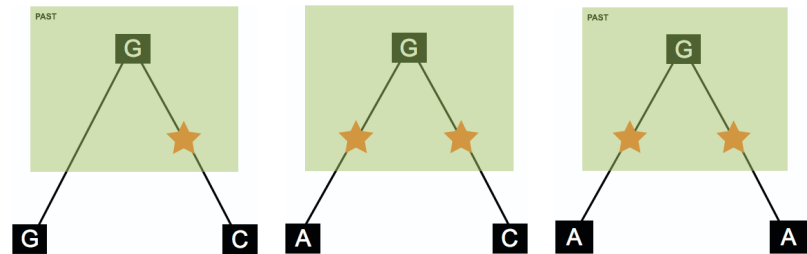
One substitutions happened - one substitution is visible



Two substitutions happened - only one substitution is visible



Two substitutions happened - no visible substitution

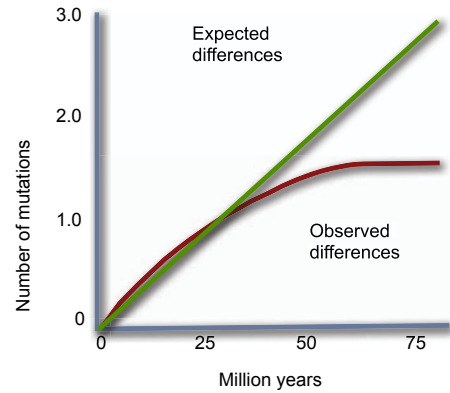


One substitutions happened  
one substitution is visible

Two substitutions happened  
no visible substitution

Two substitutions happened  
only one substitution is visible

## Estimating Genetic Differences



If all nucleotides are equally likely, the observed difference would plateau at 0.75

Therefore, simply counting differences underestimates distances, because it fails to count for multiple hits

## Molecular evolution

Models

## Molecular evolution

### Models of evolution



## Impact of models: 3 sequences

AGC  
AAC  
ACC

Sequences 1 and 2 differs at 1 out of 3 positions = 1/3  
 Sequences 1 and 3 differs at 1 out of 3 positions = 1/3  
 Sequences 2 and 3 differs at 1 out of 3 positions = 1/3

	1	2	3
1	-		
2	0.333	-	
3	0.333	0.333	-

AGC  
AAC  
ACC

## JC69 model (Jukes-Cantor, 1969)

$$d = -\frac{3}{4} \ln \left[ 1 - \frac{4P}{3} \right]$$

	1	2	3
1	-		
2	0.333	-	
3	0.333	0.333	-

Where P is the proportion of nucleotides that are different (the observed differences above) in the two sequences and ln is the natural log function. To calculate the JC distances from the observed differences above:

$$d = -\frac{3}{4} \ln \left[ 1 - \frac{4(1/3)}{3} \right] = -\frac{3}{4} \ln \left[ 1 - \frac{4}{9} \right] = -\frac{3}{4} \ln \left[ \frac{5}{9} \right] \approx 0.441$$

	1	2	3
1	-		
2	0.441	-	
3	0.441	0.441	-

<http://www.bioinf.manchester.ac.uk/resources/phase/manual>

AGC  
AAC  
ACC

## K80 model (Kimura, 1980) or Kimura 2P

Kimura's Two Parameter model (K2P) incorporates the observation that the rate of transitions per site (a) may differ from the rate of transversions (b), giving a total rate of substitutions per site of (a + 2b)(there are three possible substitutions: one transition and two transversions).

The transition:transversion ratio a/b is often represented by the letter kappa (k).

In the K2P model the number of nucleotide substitutions per site is given by:

$$d = \frac{1}{2} \ln \left[ \frac{1}{1-2P-Q} \right] + \frac{1}{4} \ln \left[ \frac{1}{1-2Q} \right]$$

where:

**P** the proportional differences between the two sequences due to transitions

**Q** are the proportional differences between the two sequences due to transitions and transversions respectively.

AGC  
AAC

## K80 model (Kimura, 1980) or Kimura 2P

Sequences 1 and 2 differ one transition

$$d = \frac{1}{2} \ln \left[ \frac{1}{1-2(1/3)-0} \right] + \frac{1}{4} \ln \left[ \frac{1}{1-2 \cdot 0} \right] = \frac{1}{2} \ln[3] + \frac{1}{4} \ln[1] = \frac{1}{2} \ln[3] \approx 0.549$$

Sequences 1 and 3 differ one transversion  
Sequences 2 and 3 differ one transversion

$$d = \frac{1}{2} \ln \left[ \frac{1}{1-2 \cdot 0-(1/3)} \right] + \frac{1}{4} \ln \left[ \frac{1}{1-2(1/3)} \right] = \frac{1}{2} \ln \left[ \frac{3}{2} \right] + \frac{1}{4} \ln[3] \approx 0.477$$

	1	2	3
1	-		
2	0.549	-	
3	0.477	0.549	-

AGC  
ACC  
AAC  
ACC

Note how the differences caused by the application of different models give different distances

Observed differences

	1	2	3
1	-		
2	0.333	-	
3	0.333	0.333	-

Jukes-Cantor model

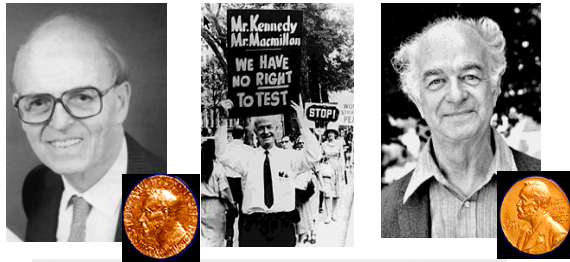
	1	2	3
1	-		
2	0.441	-	
3	0.441	0.441	-

Kimura 2P

	1	2	3
1	-		
2	0.549	-	
3	0.477	0.549	-



## Molecular evolution



*J. Theoret. Biol.* (1965) 8, 357-366

Molecules as Documents of Evolutionary History

EMILE ZUCKERKANDL AND LINUS PAULING

**“the rate of molecular evolution is approximately constant over time in all lineages”**

## Molecular evolution

Gene sequences accumulate substitutions at a constant rate, therefore we can use genes sequences to time divergences.

This is referred to as a ‘Molecular Clock’

## Molecular evolution

Molecular divergence is **ROUGHLY** correlated with divergence of time

## Molecular evolution

The idea of a molecular clock was initially suggested by **Zuckerkandl and Pauling in 1962.**

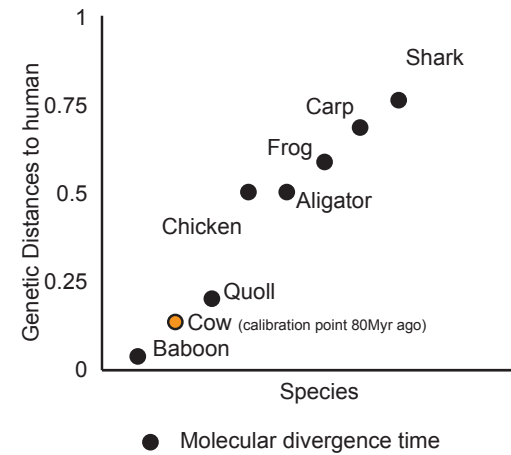
They noted that rates of amino acid replacements in animal haemoglobin were roughly proportional to real time, as judged against the fossil record.

## Molecular evolution

The “constancy” of the molecular clock is particularly striking when compared to the obvious variation in the rates of morphological evolution (e.g. the existence of “living fossils”).

## Molecular evolution

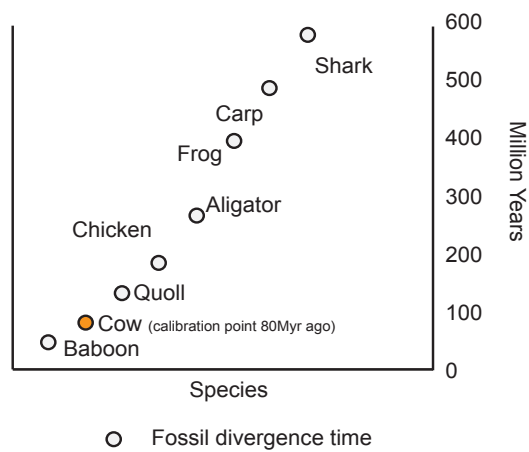
Evidence for rate constancy in haemoglobin



from Zuckerkandl and Pauling (1965)

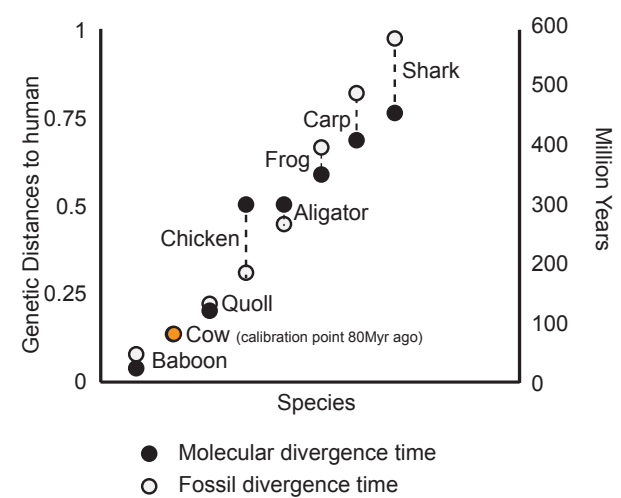
## Molecular evolution

Evidence for rate constancy in haemoglobin



## Molecular evolution

Evidence for rate constancy in haemoglobin



## Molecular evolution

### A Hipótese do Relógio Molecular

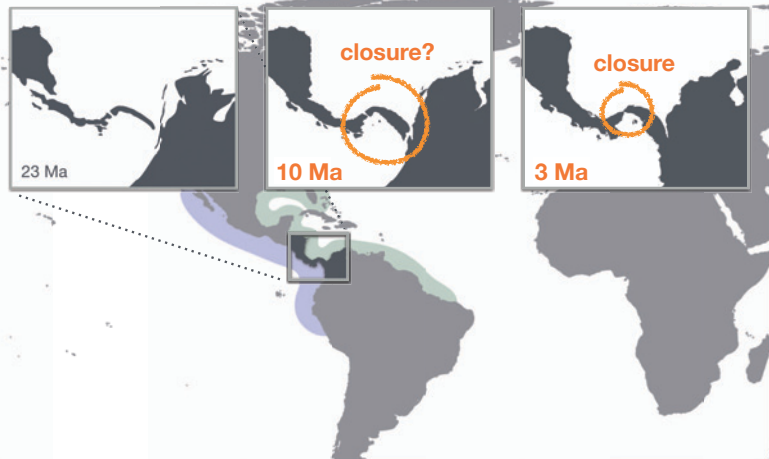


- A quantidade de diferenças genéticas entre sequências é função do tempo desde a separação.
- A taxa de mutação é (suficientemente) constante para estimar tempos de divergência

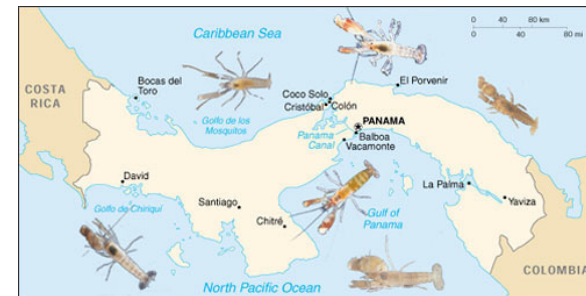
## Molecular evolution

### Calibrations

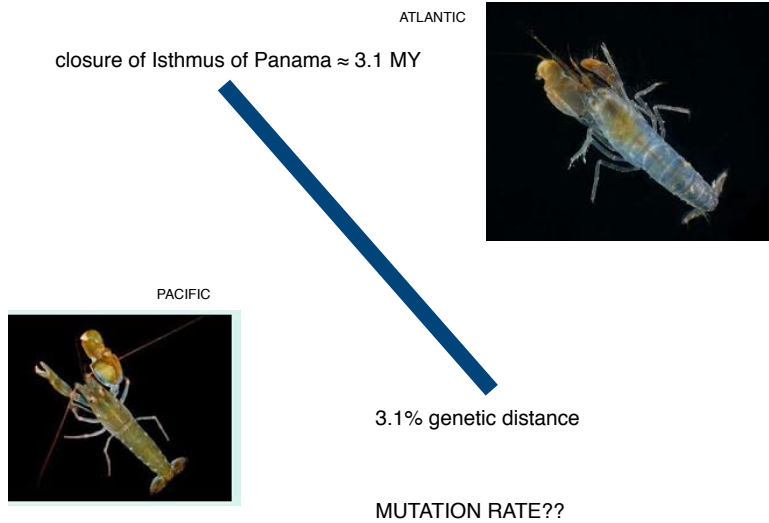
### Isthmus of Panama



## Molecular evolution



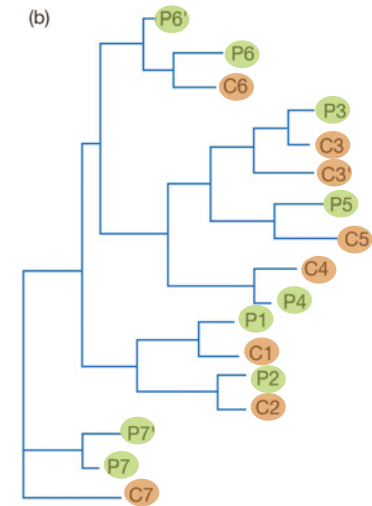
# Molecular evolution



## Calibrating the molecular clock

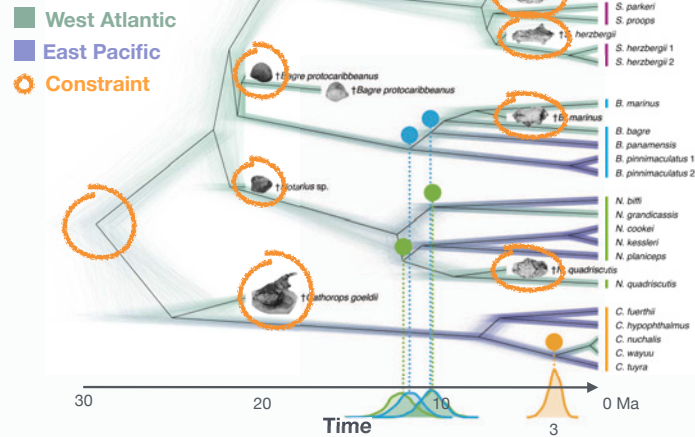
Phylogeny of Pacific (P) and Caribbean (C) species pairs of *Alpheus*.

In 6 out of 7 cases, the closest relative of a species was on the other side of the Isthmus



Knowlton, N., Weigt, L., Solorzano, L., Mills, D., & Bermingham, E. (1993). *Science*, 260 (5114), 1629.

## Results



## Isthmus of Panama



## Calibration Complexities

Cannot date fossils perfectly  
Fossils usually not direct ancestors  
    branched off tree before (after?) splitting  
    event.  
Impossible to pinpoint the age of last  
common ancestor of a group of living  
species

Molecular clock  
not  
Universal

**Mean Rate of  
Nucleotide  
Substitution in  
Mammalian Genomes**

**1% /  $10^6$  years**

Rate of molecular evolution can differ between  
nucleotide positions  
genes  
genomic regions  
genomes within species (nuclear vs organelle)  
species  
over time

Rate of molecular evolution can differ between  
nucleotide positions  
genes  
genomic regions  
genomes within species (nuclear vs organelle)  
species  
over time

If not considered, introduces bias into time estimates

## Rate Heterogeneity among lineages

Cause	Reason
Repair mechanisms	e.g. RNA viruses have error-prone polymerases
Metabolic rate	More free radicals
Generation time	Copies DNA more frequently
Population size	Effects mutation fixation rate

How different regions of the genome may vary?

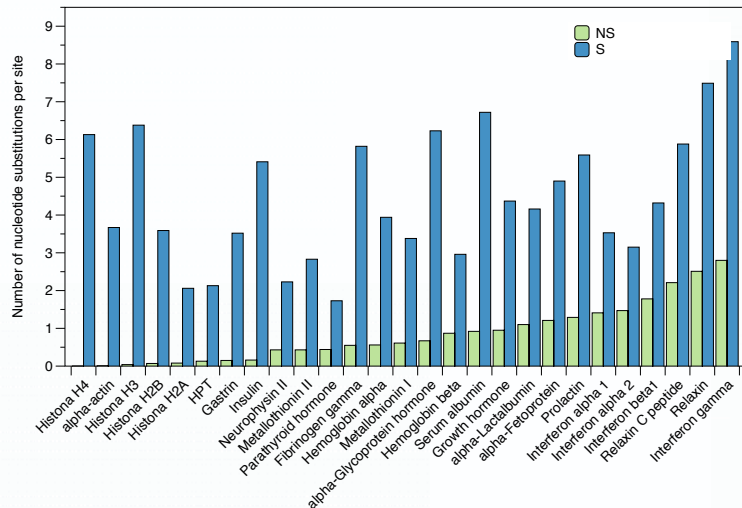
Evolution is a very slow process at the molecular level



# Rates of Substitutions in Protein-Coding regions

Synonymous vs non-synonymous  
Functional vs non-functional

		Second letter				
		U	C	A	G	
U	UUU } Phe	UCU } Ser	UAU } Tyr	UGU } Cys	U C A G	
	UUC } Leu	UCC } Ser	UAC } Tyr	UGC } Cys		
	UUA } Leu	<b>UCA</b>	UAA } Stop	UGA } Stop		
	UUG } Leu	UCG } Ser	UAG } Stop	UGG } Trp		
C	CUU } Leu	CCU } Pro	CAU } His	CGU } Arg	U C A G	
	CUC } Leu	CCC } Pro	CAC } His	CGC } Arg		
	CUA } Leu	CCA } Pro	CAA } Gln	CGA } Arg		
	CUG } Leu	CCG } Pro	CAG } Gln	CGG } Arg		
A	<b>AUU</b>	<b>ACU</b>	AAU } Asn	<b>AGU</b> } Ser	U C A G	
	AUC } Ile	ACC } Thr	AAC } Asn	AGC } Ser		
	AUA } Ile	ACA } Thr	AAA } Lys	AGA } Arg		
	AUG } Met	ACG } Thr	AAG } Lys	AGG } Arg		
G	GUU } Val	GCU } Ala	GAU } Asp	GGU } Gly	U C A G	
	GUC } Val	GCC } Ala	GAC } Asp	GGC } Gly		
	GUA } Val	GCA } Ala	GAA } Glu	GGA } Gly		
	GUG } Val	GCG } Ala	GAG } Glu	GGG } Gly		



Mean **non-synonymous** rate  $0.84 \pm 0.66 \times 10^{-9}$

Mean **synonymous** rate  $4.44 \pm 1.36 \times 10^{-9}$

substitutions per site per year

The rate of synonymous substitution is much larger than the **non-synonymous** rate.

### Functional constraint

=

Degree of intolerance towards mutations

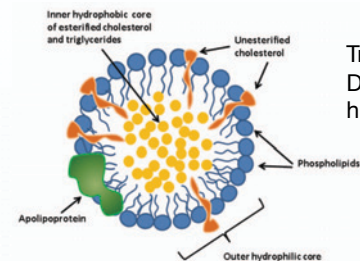
The functional constraint defines the range of alternative residues that are acceptable at a site without affecting negatively the function or structure of the gene or the gene product.

Two different examples:

Apolipoproteins

Histones 3

## Apolipoproteins



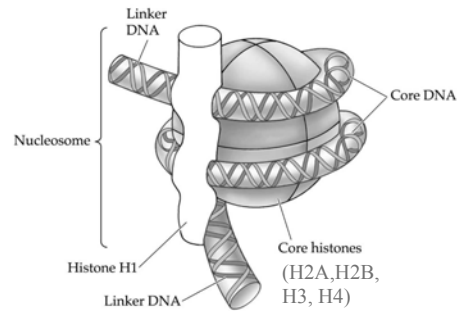
Transportadores de lípidos no sangue.  
Domínios constituídos por resíduos hidrofóbicos

**Alterações entre aminoácidos hidrofóbicos (valina – leucina) permitidas em muitas posições.**



# Histones

As histonas interagem directamente com outras histonas ou com o DNA para a formação do nucleossoma.



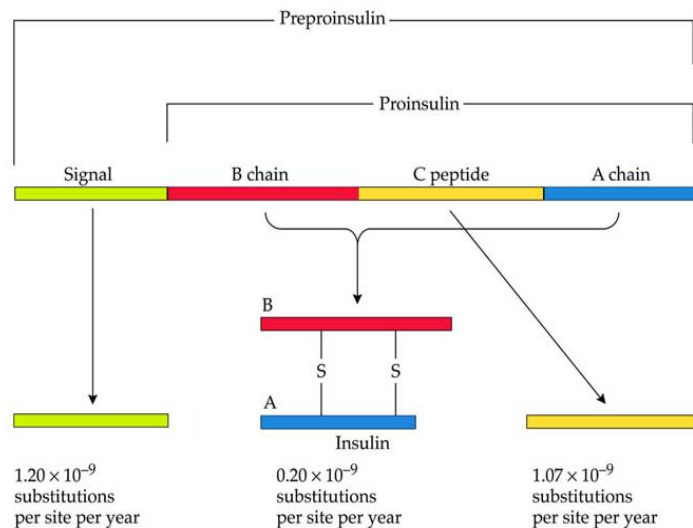
Manutenção da compactação e alcalinidade necessárias = poucas substituições.

Histonas mutam 1000 vezes **mais lentamente** do que as apolipoproteínas.

Apolipoproteins Função compatível com substituições entre aminoácidos hidrofóbicos

Histones Manutenção da compactação e alcalinidade necessárias = poucas substituições.

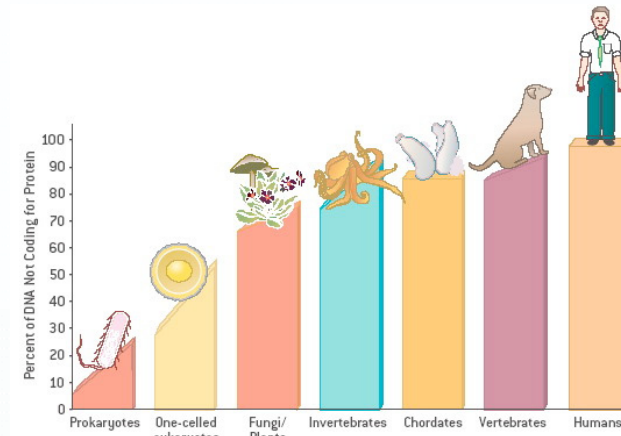
Histonas mutam 1000 vezes **mais lentamente** do que as apolipoproteínas.



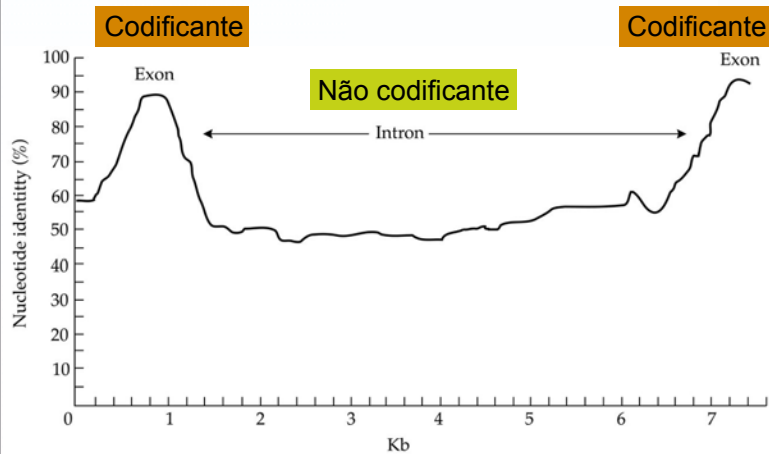
Functional regions evolve **slower** than nonfunctional regions.

Important proteins evolve **slower** than unimportant ones.

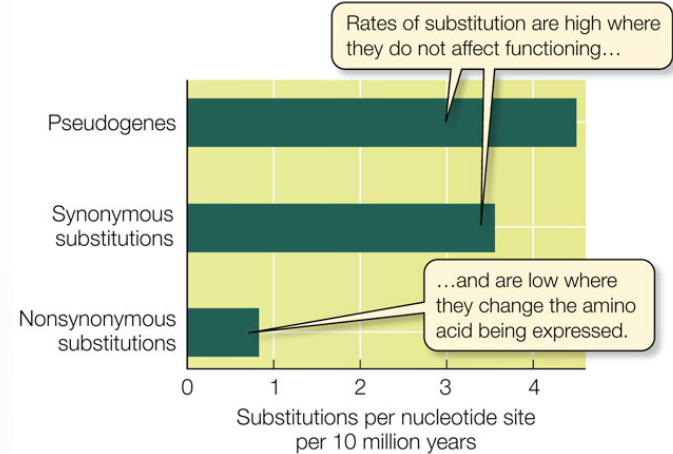
# Rates of Substitutions in Non-Coding regions



NONPROTEIN-CODING SEQUENCES make up only a small fraction of the DNA of prokaryotes. Among eukaryotes, as their complexity increases, generally so, too, does the proportion of their DNA that does not code for protein. The noncoding sequences have been considered junk, but perhaps it actually helps to explain organisms' complexity.



Perfil de semelhança de duas seqüências de DNA.

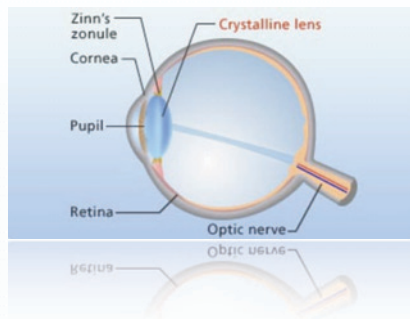


Coding regions evolve **slower** than noncoding regions.



*Spalax ehrenberghi*

**FACT: *S. ehrenberghi* αA-crystallin lost its functional role**



Water-soluble structural protein found in the lens and the cornea of the eye accounting for the transparency of the structure

The main function of crystallins at least in the lens of the eye is probably to increase the refractive index while not obstructing light.

**WHEN:**

**more than 25 MA ago**

**(when the mole rat became subterranean and presumably gradually lost use of its eyes)**

**FACT:**

The  $\alpha$ A-crystallin of *S. ehrenbergi* evolves 20 times faster than the  $\alpha$ A-crystallins in other rodents, such as rats, mice, hamsters, gerbils and squirrel.

**FACT:**

The  $\alpha$ A-crystallin of *S. ehrenbergi* possess all the prerequisites for normal function and expression, including the proper signals for alternative splicing.

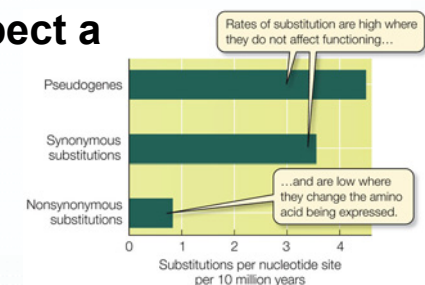
*S. ehrenbergi*  $\alpha$ A-crystallin lost its functional role but it could function...

The functional role was lost a long time ago: over 25 MA

The gene evolves 20 times faster than the  $\alpha$ A-crystallins in other rodents

We would expect a

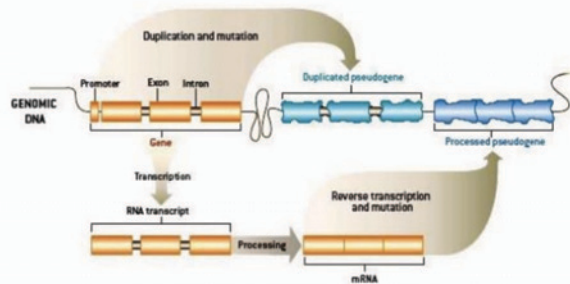
larger  
equal  
lower



mutational rate than the one from pseudogenes?

## Pseudogenes

They are dysfunctional relatives of known genes in the genome that never become proteins



Notwithstanding...

The **aA-crystallin** of *S. ehrenbergi* evolves slower than pseudogenes.



Several explanations...

The genes are functional for the vision?

Was the loss of vision more recent (than 25 MY)?

The gene has another function?

Explanation 1:

Are the genes functional?

Maybe not all function is lost ...

(e.g. photoperiod perception)

**Explanation 1:**

**Contradicting evidence**

**Photo-reception is lost.**

**The atrophied eye of *Spalax* does NOT respond to light.**

**Explanation 2:**

**Slow evolving gene may be due to a more recent (than 25 MY) loss of vision.**

**Explanation 2:**

**Slow evolving gene may be due to a more recent (than 25 MY) loss of vision.**

**Rate of mutation is affected by the rate of mutation before loss of function and after nonfunctionalization. Therefore there is an **underestimation of the time of loss.****

**Explanation 2:**

**Contradicting evidence:**

**The aA-crystallin gene is intact as far as the essential molecular structures for its expression are concerned.**

**The phylogenies indicate 25MY as the probable timeframe for the mole vision impairment.**

### Explanation 3:

The  $\alpha$ A-crystallin-gene product serves a function unrelated to that of the eye (vision).

### Facts:

1.  $\alpha$ A crystallin has been found in **other tissues**.

### Facts:

1.  $\alpha$ A crystallin has been found in **other tissues**.

2.  $\alpha$ A crystallin functions as a chaperone that binds denaturing proteins and prevents their aggregation.

### Facts:

1.  $\alpha$ A crystallin has been found in **other tissues**.

2.  $\alpha$ A crystallin functions as a chaperone that binds denaturing proteins and prevents their aggregation.

3. The regions within  $\alpha$ A crystallin responsible for chaperone activity are **conserved** in the mole rat, therefore have a lower than expected substitution rate.